

DECLARATION

As a below named inventor, I declare that:

My residence, post office address and citizenship are as stated below next to my name; I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: METHODS FOR SCREENING NUCLEAR TRANSCRIPTION FACTORS FOR THE ABILITY TO MODULATE AN ESTROGEN RESPONSE the specification of which was filed on June 23, 1998 as Application No. 09/103,355.

I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56. I claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

| Country | Application No. | Date of Filing | Priority Claimed Under 35 USC 119 |
|---------|-----------------|----------------|--------------------------------------|
| | | | |

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below:

| Application No. | Filing Date |
|-----------------|---------------|
| 06/051,309 | June 30, 1997 |

I claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

| Application No. | Date of Filing | Status |
|-----------------|----------------|--------|
| | | |

| Full Name of | Last Name: | First Name: | Middle Name or Initial: | |
|--------------|----------------------------------|------------------------|--------------------------|--------------|
| Inventor 1: | KUSHNER | PETER | J. | |
| Residence & | City: | State/Foreign Country: | Country of Citizenship: | |
| Citizenship: | San Francisco | CA | United States of | America |
| Post Office | Post Office Address: | City: | State/Country: | Postal Code: |
| Address: | 1362 6th Avenue | San Francisco | CA | 94122 |
| Full Name of | Last Name: | First Name: | Middle Name or Initial: | |
| Inventor 2: | UHT | ROSALIE | M. | |
| Residence & | City: | State/Foreign Country: | Country of Citizenship: | |
| Citizenship: | San Francisco | CA | United States of America | |
| Post Office | Post Office Address: | City: | State/Country: | Postal Code: |
| Address: | 5330 Diamond Heights Blvd., Apt. | San Francisco | CA | 94131 |
| | 5107 | | | |

| Full Name of | Last Name: | First Name: PAUL | Middle Name or Initial: |
|---------------------------------------|---|------------------------------|---|
| Inventor 3: Residence & Citizenship: | WEBB City: San Francisco | State/Foreign Country: CA | Country of Citizenship: United Kingdom |
| Post Office Address: | Post Office Address: 1300 Irving Street, #21 | City: San Francisco | State/Country: Postal Code CA 94122 |

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

| Signature of Inventor 1 Peter J. Kushner | Signature of Inventor 2 Rosalie M. Uht | Signature of Inventor 3 Paul Webb |
|--|---|------------------------------------|
| Date Aug 17, 1998 | Date 8/13/98 | Date 8/17/98 |

157790





Attorney Docket No. 23070-080510 UC Case No. 97-247-2

CERTIFICATE UNDER 37 C.F.R. § 3.73(b)

| Applicant: Peter Kushner et al. | | |
|--|--|--|
| Application No.: 09/103,355 Filed: June 23, 1998 | | |
| For: Methods for Screening Nuclear Transcription Factors for the Ability to Modulate an Estrogen Response | | |
| The Regents of the University of California , a University (Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.) | | |
| certifies that it is assignee of the patent application identified above by virtue of either: | | |
| A. An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel, Frame(s), or for which a copy thereof is attached. | | |
| OR | | |
| B. A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below: | | |
| 1. From: To: | | |
| The document was recorded in the Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached. | | |
| 2. From: | | |
| The document was recorded in the Patent and Trademark Office at | | |
| Reel, Frame, or for which a copy thereof is attached. | | |
| 3. From: | | |
| The document was recorded in the Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached. | | |
| | | |
| Additional documents in the chain of title are listed on a supplemental sheet. | | |
| Copies of assignments or other documents in the chain of title are attached. | | |
| The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee. | | |
| I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon. | | |
| Date : 9/28/98 | | |
| Name: Linda S. Stevenson | | |
| Title : Principal Prosecution Analyst | | |
| Signature: Senda & Stevenson | | |